PERSONAL FINANCIAL STATEMENT

FORM PFS-TEC

Note: A PFS filed with the Texas Ethics Commission must be filed electronically.

The only exception is for individuals appointed to office. See the PFS Instruction Guide for more information.

COVER SHEET

The only exception is for	or individuals appointed to office. See the PFS Instruction Guide for more information	n. PAGE 1		
Filed in accordance with chapter 572 of the Government Code. For filings required in 2019, covering calendar year ending December 31, 2018. Use FORM PFSINSTRUCTION GUIDE when completing this form.		TOTAL NUMBER OF PAGES FILED: 11 Filer ID 00083122		
1 NAME	TITLE; FIRST; MI	OFFICE USE ONLY		
· INAIVIE	Executive Commissioner; Courtney N.	Date Received		
	NICKNAME; LAST; SUFFIX Phillips	HAMD DELTV ERED RECEIVE D		
2 ADDRESS	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE	FEB 1 1 2019		
2 ADDRESS	1807 N. 46th Street Austin, Texas 78756	Texas Ethics Commission		
	(Check If Filer's Home Address)	Date Hand-delivered or Date Postmarked Receipt # Amount \$		
3 TELEPHONE NUMBER	AREA CODE PHONE NUMBER; EXTENSION	PROCESSED FEB 1 1 2019 Date Imaged		
4 REASON FOR FILING STATEMENT	□ CANDIDATE □ ELECTED OFFICER □ Texas Health and Human Service □ APPOINTED OFFICER □ EXECUTIVE HEAD □ FORMER OR RETIRED JUDGE SITTING BY ASSIGNMENT □ STATE PARTY CHAIR □ OTHER □ OTHER □	(INDICATE OFFICE) PS COMM. (INDICATE AGENCY) (INDICATE AGENCY) (INDICATE PARTY)		
No	nose financial activity you are reporting (see instructions). t Applicable			
SPOUSE NOT Applicable				
DEPENDENT CHILD 1.				
2.				
3				

In Parts 1 through 18, you will disclose your financial activity during the preceding calendar year. In Parts 1 through 14, you are required to disclose not only your own financial activity, but also that of your spouse or a dependent child (see instructions).

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

R: 100738909

PERSONAL FINANCIAL STATEMENT

COVER SHEET PAGE 2

On this page, indicate any Parts of Form PFS that are not applicable to you. If you do not place a check in a box, then pages for that Part must be included in the report. If you place a check in a box, do NOT include pages for that Part in the report.

Part	Part in the report.				
6	6 PARTS NOT APPLICABLE TO FILER				
	N/A Part 1A - Sources of Occupational Income				
	N/A Part 1B - Retainers				
	N/A Part 2 - Stock				
	N/A Part 3 - Bonds, Notes & Other Commercial Paper				
	N/A Part 4 - Mutual Funds				
	N/A Part 5 - Income from Interest, Dividends, Royalties & Rents				
	N/A Part 6 - Personal Notes and Lease Agreements				
	N/A Part 7A - Interests in Real Property				
	N/A Part 7B - Interests in Business Entities				
	N/A Part 8 - Gifts				
	N/A Part 9 - Trust Income				
	N/A Part 10A - Blind Trusts				
	N/A Part 10B - Trustee Statement				
	N/A Part 11A - Ownership of Business Associations				
	N/A Part 11B - Assets of Business Associations				
	N/A Part 11C - Liabilities of Business Associations				
	N/A Part 12 - Boards and Executive Positions				
	N/A Part 13 - Expenses Accepted Under Honorarium Exception				
	N/A Part 14 - Interest in Business in Common with Lobbyist				
	N/A Part 15 - Fees Received for Services Rendered to a Lobbyist or Lobbyist's Employer				
	N/A Part 16 - Representation by Legislator Before State Agency				
	N/A Part 17 - Benefits Derived from Functions Honoring Public Servant				
	N/A Part 18 - Legislative Continuances				
	N/A Part 19 - Contracts to Sell Goods or Services to a Governmental Entity or				
	Governmental Entity Contractor				
	N/A Part 20 - Bond Counsel Fees Paid to Legislator				

SOURCES OF OCCUPATIONAL INCOME PART 1A If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and do NOT include this page in the report. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. INFORMATION RELATES TO FILER SPOUSE DEPENDENT CHILD ___ NAME AND ADDRESS OF EMPLOYER / POSITION HELD **EMPLOYMENT** (Check If Filer's Home Address) EMPLOYED BY ANOTHER CEO, Nebraska Department of Health and Human Services 301 Centennial Mall Station Lincoln, Nebraska 68509 NATURE OF OCCUPATION SELF-EMPLOYED State Government Agency INFORMATION RELATES TO ☐ FILER SPOUSE DEPENDENT CHILD __ NAME AND ADDRESS OF EMPLOYER / POSITION HELD **EMPLOYMENT** (Check If Filer's Home Address) EMPLOYED BY ANOTHER NATURE OF OCCUPATION SELF-EMPLOYED INFORMATION RELATES TO SPOUSE ☐ FILER ☐ DEPENDENT CHILD ___ NAME AND ADDRESS OF EMPLOYER / POSITION HELD **EMPLOYMENT** (Check If Filer's Home Address) EMPLOYED BY ANOTHER NATURE OF OCCUPATION SELF-EMPLOYED

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

MUTUAL FUNDS PART 4 If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and do NOT include this page in the report. List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME 1 MUTUAL FUND Individual Retirement Annuity (IRA) held- Global Real Estate Securities (Russel Invsts) - B (Please note: All funds listed are held through Northwestern Mutual as an IRA). 2 SHARES OF MUTUAL FUND SPOUSE DEPENDENT CHILD ___ **✓** FILER HELD OR ACQUIRED BY 1,000 TO 4,999 500 TO 999 100 TO 499 3 NUMBER OF SHARES)LESS THAN 100 OF MUTUAL FUND 5,000 TO 9,999 10,000 OR MORE 4 IF SOLD NET GAIN **)**\$10.000--\$24.999 **(**) \$25,000--OR MORE LESS THAN \$5,000 (**)**\$5,000--\$9,999 **(** NET LOSS NAME **MUTUAL FUND** IRA held - INDEX 400 Stock (MSA) - B (Please note: All funds listed are held through Northwestern Mutual as an IRA). SHARES OF MUTUAL FUND SPOUSE **✓** FILER ■ DEPENDENT CHILD _ HELD OR ACQUIRED BY 1,000 TO 4,999 500 TO 999 NUMBER OF SHARES LESS THAN 100 100 TO 499 OF MUTUAL FUND 10,000 OR MORE (●)5,000 TO 9,999 IF SOLD **NET GAIN** \$10,000--\$24,999 \$25,000--OR MORE \$5,000--\$9,999 LESS THAN \$5,000 NET LOSS **MUTUAL FUND** IRA held - INDEX 500 Stock (MSA)-B (Please note: All funds listed are held through Northwestern Mutual as an IRA). SHARES OF MUTUAL FUND **✓** FILER SPOUSE DEPENDENT CHILD __ HELD OR ACQUIRED BY 500 TO 999 1,000 TO 4,999 100 TO 499 LESS THAN 100 NUMBER OF SHARES OF MUTUAL FUND 5,000 TO 9,999 10,000 OR MORE

\$5,000--\$9,999

LESS THAN \$5,000

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

IF SOLD

NET GAIN

NET LOSS

\$10,000--\$24,999 () \$25,000--OR MORE

PART 4 **MUTUAL FUNDS** If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and do NOT include this page in the report. List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME 1 MUTUAL FUND IRA - held-INDEX 6000 Stock (MSA) - B (Please note: All funds listed are held through Northwestern Mutual as an IRA). 2 SHARES OF MUTUAL FUND ☐ SPOUSE DEPENDENT CHILD ___ **▼** FILER HELD OR ACQUIRED BY 500 TO 999 1,000 TO 4,999 3 NUMBER OF SHARES LESS THAN 100 100 TO 499 OF MUTUAL FUND 10,000 OR MORE (•)5,000 TO 9,999 4 IF SOLD NET GAIN **)**\$10,000--\$24,999 **(**) \$25,000--OR MORE \$5,000--\$9,999 (LESS THAN \$5,000 **NET LOSS MUTUAL FUND** IRA - HELD - Internaltional Equity (MSA/Franklin Templeton) - B (Please note: All funds listed are held through Northwestern Mutual as an IRA). SHARES OF MUTUAL FUND SPOUSE DEPENDENT CHILD _ **✓** FILER HELD OR ACQUIRED BY 100 TO 499 500 TO 999 1,000 TO 4,999 NUMBER OF SHARES LESS THAN 100 OF MUTUAL FUND 10,000 OR MORE 5,000 TO 9,999 IF SOLD **NET GAIN** \$25,000--OR MORE) LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 NET LOSS **MUTUAL FUND** NAME IRA - Held - International Growth (MSA/FIAM LLC) - B (Please note: All funds listed are held through Northwestern Mutual as an IRA). SHARES OF MUTUAL FUND FILER SPOUSE DEPENDENT CHILD _ HELD OR ACQUIRED BY 1,000 TO 4,999 500 TO 999 LESS THAN 100 100 TO 499 NUMBER OF SHARES OF MUTUAL FUND 10,000 OR MORE 5,000 TO 9,999 **NET GAIN** IF SOLD \$10,000--\$24,999 \$25,000--OR MORE \$5,000--\$9,999

LESS THAN \$5,000

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

NET LOSS

PART 4 **MUTUAL FUNDS** If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and do NOT include this page in the report. List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME 1 MUTUAL FUND IRA - held - Select Bond (MSA/Wells Capital Management Inc.) - B (Please note: All funds listed are held through Northwestern Mutual as an IRA). 2 SHARES OF MUTUAL FUND ☐ SPOUSE DEPENDENT CHILD ___ **▼** FILER HELD OR ACQUIRED BY 100 TO 499 500 TO 999 (1,000 TO 4,999 3 NUMBER OF SHARES LESS THAN 100 OF MUTUAL FUND 10,000 OR MORE 5,000 TO 9,999) 4 IF SOLD NET GAIN)\$10,000--\$24,999 ()\$25,000--OR MORE \$5,000--\$9,999 LESS THAN \$5,000 NET LOSS NAME MUTUAL FUND SHARES OF MUTUAL FUND SPOUSE FILER DEPENDENT CHILD _ HELD OR ACQUIRED BY 1.000 TO 4.999 500 TO 999 NUMBER OF SHARES 100 TO 499 LESS THAN 100 OF MUTUAL FUND 10,000 OR MORE 5,000 TO 9,999 IF SOLD **NET GAIN** \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE LESS THAN \$5,000 **NET LOSS** MUTUAL FUND NAME SHARES OF MUTUAL FUND FILER SPOUSE DEPENDENT CHILD _ HELD OR ACQUIRED BY 100 TO 499 500 TO 999 1,000 TO 4,999 LESS THAN 100 NUMBER OF SHARES OF MUTUAL FUND 10,000 OR MORE 5,000 TO 9,999 **NET GAIN** IF SOLD \$25,000--OR MORE LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 **NET LOSS** COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

INCOME FROM INTEREST, DIVIDENDS, ROYALTIES & RENTS PART 5 If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and do NOT include this page in the report. List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME AND ADDRESS SOURCE OF INCOME Reginal White (Rental Property) 37234 Saint Maria Ave. Publicly held corporation Prairieville, LA 70769 **RECEIVED BY** ✓ FILER SPOUSE DEPENDENT CHILD _____ **AMOUNT** \$10,000--\$24,999 (•)\$25,000--OR MORE \$5,000--\$9,999 \$500--\$4,999 NAME AND ADDRESS SOURCE OF INCOME Publicly held corporation RECEIVED BY SPOUSE FILER DEPENDENT CHILD ___ **AMOUNT** \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE \$500--\$4,999 NAME AND ADDRESS SOURCE OF INCOME Publicly held corporation

SPOUSE

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

\$5,000--\$9,999

Forms provided by Texas Ethics Commission

FILER

\$500--\$4,999

RECEIVED BY

AMOUNT

\$25,000--OR MORE

■ DEPENDENT CHILD _

\$10,000--\$24,999

PERSONAL NOTES AND LEASE AGREEMENTS PART 6 If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and do NOT include this page in the report. Identify each guarantor of a loan and each person or financial institution to whom you, your spouse, or a dependent child had a total financial liability of more than \$1,000 in the form of a personal note or notes or lease agreement at any time during the calendar year and indicate the category of the amount of the liability. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. PERSON OR INSTITUTION Courtney N. Phillips - monthly lease at 6807 Mimosa Lane, Lincoln NE 68521 HOLDING NOTE OR LEASE AGREEMENT LIABILITY OF SPOUSE DEPENDENT CHILD _____ **▼** FILER Wakefield Management **GUARANTOR** \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE **AMOUNT** \$1,000--\$4,999 PERSON OR INSTITUTION Courtney N. Phillips - monthly lease at 1807 N. 46th Street, Austin, Texas 78756 HOLDING NOTE OR LEASE AGREEMENT LIABILITY OF FILER SPOUSE DEPENDENT CHILD ____ Volente Enterprises - monthly lease amount shown below **GUARANTOR** () \$1,000--\$4,999 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE **AMOUNT** PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT LIABILITY OF SPOUSE ☐ FILER DEPENDENT CHILD _____ **GUARANTOR AMOUNT** \$1,000--\$4,999 \$5,000--\$9,999 \$10,000--\$24,999 (\$25,000-OR MORE COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

INTERESTS IN REAL PROPERTY

PART 7A

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, *and do NOT include this page in the report.*

Describe all beneficial interests in real property held or acquired by you, your spouse, or a dependent child during the calendar year. If the interest was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For an explanation of "beneficial interest" and other specific directions for completing this section, see FORM PFS-INSTRUCTION GUIDE.

When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.

1 HELD OR ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHILD	
2 STREET ADDRESS NOT AVAILABLE (Check If Filer's Home Address)	STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE 37234 Saint Maria Ave Prairieville, LA 70769			
3 DESCRIPTION OLOTS ACRES	Ascension on Pa		AME OF COUNTY WHERE LOCATED	
A NAMES OF PERSONS RETAINING AN INTEREST NOT APPLICABLE (SEVERED MINERAL INTEREST)				
F SOLD NET GAIN NET LOSS	OLESS THAN \$5,0	00 \$5,000\$9,999 (\$10,000\$24,999 \$25,000OR MORE	
HELD OR ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHILD	
STREET ADDRESS NOT AVAILABLE (Check If Filer's Home Address)		STREET ADDRESS, INCLUDING	G CITY, COUNTY, AND STATE	
DESCRIPTION OLOTS OACRES	NU	MBER OF LOTS OR ACRES AND N	IAME OF COUNTY WHERE LOCATED	
NAMES OF PERSONS RETAINING AN INTEREST NOT APPLICABLE (SEVERED MINERAL INTEREST)				
IF SOLD ONET GAIN ONET LOSS	C LESS THAN \$5,0	000 (\$5,000\$9,999 (\$10,000\$24,999 \$25,000OR MORE	

EXPENSES ACCEPTED UNDER HONORARIUM EXCEPTION PART 13

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, **and do NOT** include this page in the report.

Identify any person who provided you with necessary transportation, meals, or lodging, as permitted under section 36.07(b) of the Penal Code, in connection with a conference or similar event in which you rendered services, such as addressing an audience or participating in a seminar, that were more than perfunctory. Also provide the amount of the expenditures on transportation, meals, or lodging. You are not required to include items you have already reported as political contributions on a campaign finance report, or expenditures required to be reported by a lobbyist under the lobby law (chapter 305 of the Government Code). For more information, see FORM PFS—INSTRUCTION GUIDE.

1 PROVIDER	Date: May 4-13, 2018. Event: Taiwan Health & Well-Being Symposium Organization: Duke University & Taiwan Ministry of Health and Welfare – Dr. Pikuei Tu, Duke University, 2204 Erwin Rd., Box 90402, Durham, NC 27708-0402 Event Location: Taipei, Taiwan	
² AMOUNT	Expenses Covered Directly: airfare, hotel, and meals	
PROVIDER	Date: August 24-28, 2018 Event: Henry Toll Fellowship Organization: Council of State Governments, 1776 Avenue of the States, Lexington, KY 40511 Event Location: Lexington, KY	
AMOUNT	Expenses Covered Directly: hotel (\$635), meals (\$800), local transportation (\$165)	
PROVIDER	Date: December 7-9, 2018 Event: Council of State Governments Meeting Organization: Council of State Governments - 1776 Avenue of the States, Lexington, KY 40511 Event Location: Covington, Kentucky	
AMOUNT	Expenses Covered Directly: hotel, meals during event, and transportation - (\$581.00)	
PROVIDER	NAME ANO AODRESS	

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

PERSONAL FINANCIAL STATEMENT AFFIDAVIT

The law requires the personal financial statement to be verified. The verification page must have the signature of the individual required to file the personal financial statement, as well as the signature and stamp or seal of office of a notary public or other person authorized by law to administer oaths and affirmations. Without proper verification, the statement is not considered filed.

I swear, or affirm, under penalty of perjury, that this financial statement covers calendar year ending December 31, 2017 and is true and correct and includes all information required to be reported by me under chapter 572 of the Government Code.

Signature of Filer

CINDY S CASSITY
My Notary ID # 5056994
Expires January 22, 2020

AFFIX NOTARY STAMP / SEAL ABOVE

Sworn to and subscribed before me, by the said <u>AURTWLY N. HAULS</u>, this the <u>11 HA</u> day of <u>FEBRUARY</u>, 20 <u>19</u>, to certify which, witness my hand and seal of office.

Signature of officer administering oath

ninistering oath Printed name of officer administering oath Title of off

Title of officer abministering oath